

NOV. 30, 2004 3:30PM NYU

NO. 279 P. 5

## DISCLOSURE AUTHORIZATION

Claimant's Name (Please Print):

*Steven A. Sando*

I AUTHORIZE: any doctor, physician, healer, health care practitioner, hospital, clinic, other medical facility, professional, or provider of health care, medically related facility or association, medical examiner, pharmacy, employee assistance plan, insurance company, health maintenance organization or similar entity to provide access to or to give the company named below (Company) or the Plan Administrator or their employees and authorized agents or authorized representatives, any medical and nonmedical information or records that they may have concerning my health condition, or health history, or regarding any advice, care or treatment provided to me. This information and/or records may include, but is not limited to: cause, treatment, diagnoses, prognosis, consultations, examinations, tests, prescriptions, or advice regarding my physical or mental condition, or other information concerning me. This may also include, but is not limited to, information concerning: mental illness, psychiatric, drug or alcohol use and any disability, and also HIV related testing, infection, illness, and AIDS (Acquired Immune Deficiency Syndrome), as well as communicable diseases and genetic testing. If my plan administrator sponsors both a disability plan underwritten or administered by Company and a medical plan of any type written by another CIGNA company, the information and records described in this form may also be given to any CIGNA Company which administers such medical or disability benefits for the purpose of evaluating any claim that may be submitted by me or on my behalf for benefits, for evaluating return to employment opportunities, and for administering any feature described in the plan. This information may also be extracted for use in audit or for statistical purposes.

I AUTHORIZE: any financial institution, accountant, tax preparer, insurance company or reinsurance, consumer reporting agency, insurance support organization, Claimant's agent, employer, group policyholder, business associate, benefit plan administrator, family members, friends, neighbors or associates, governmental agency including the Social Security Administration or any other organization or person having knowledge of me to give the Company or the Plan Administrator or their employees and authorized agents, or authorized representatives, any information or records that they have concerning me, my occupation, my activities, employee/employment records, earnings or finances, applications for insurance coverage, prior claim files and claim history, work history and work related activities.

I UNDERSTAND: the information obtained will be included as part of the proof of claim and will be used to determine eligibility for claim benefits, any amounts payable, return to employment opportunities, and to administer any other feature described in the plan with respect to the Claimant. This authorization shall remain valid and apply to all records, information and events that occur over the duration of the claim, but not to exceed 24 months. A photocopy of this form is as valid as the original and I or my authorized representative may request one. I or my representative may revoke this authorization at any time as it applies to future disclosures by writing the Company. The information obtained will not be disclosed to anyone EXCEPT: a) reinsurance companies; b) the Medical Information Bureau, Inc., which operates Health Claim Index (HCI); c) fraud or overinsurance detection bureaus; d) anyone performing business, medical or legal functions with respect to the claim or the plan, including any entity providing assistance to the Company under its Social Security Assistance Program and employers involved in return to employment discussions; e) for audit or statistical purposes; f) as may be required or permitted by law; g) as I may further authorize. A valid authorization or court order for information does not waive other privacy rights.

If my medical information contains information regarding drug or alcohol abuse, I understand that my records may be protected under federal (42 CFR Part 2) and some state laws. To the extent permitted under law, I can ask the party that disclosed information to the Company to permit me to inspect and copy the information it disclosed. I understand that I can refuse to sign this disclosure authorization; however, if I do so, Company may deny my claim for benefits pursuant to the plan. The use and further disclosure of information disclosed hereunder may not be subject to the Health Insurance Portability and Accountability Act (HIPAA).

Signature of Claimant or

Claimant's Authorized Representative:

*St. A. Sando*

Date:

*11/4/04*

Relationship:

If other than Claimant:

Claimant's Social Security Number:

*07-44-9648*Company Name: *CIGNA Life Insurance Company of New York*

## PROHIBITION ON RE-DISCLOSURE

If the medical information contains information regarding drug or alcohol abuse, it may be protected under federal law. Federal regulations (42 CFR Part 2) prohibit any person or entity who receives such protected information from the Company from making any further disclosure of it without the specific written consent of the person to whom it pertains, or as otherwise permitted by such regulation. A general authorization for the release of medical or other information is not sufficient for this purpose. The federal rules restrict any use of such protected information to criminally investigate or prosecute any alcohol or drug abuse patient.

Page 4 of 5

Alfano 09/30/2004 3:30PM41-4HYD

NO. 279 F. 6

09/14/04 107486 7701200 CERVICAL SPINE MINIMUM 4 VIEWS Final

Ordered: 09/14/2004 Location: COMPRH CARE-HT4

Order time: 0929

Name: ALFANO, STEVEN

MRN: (00000)002284147

RADIOLOGY REPORT.

Age: 46 YRS Sex: M DOB: 01/14/58

Admitting M.D.: ROACH, KEITH W DR. MD

EXAM DATE: Accession #: 09/14/04 01-RA-04-107486

Exam Ordered: Order M.D.

CSP 4 V ROACH, KEITH W DR. MD

FINDINGS:

Clinical History: Neck pain. Lumbar stenosis.

Technique: Frontal lateral and oblique views of the cervical spine. Five views.

Comparison: None

Findings: Degenerative disk disease with disk space narrowing noted at C6-C7. Uncal-vertebral joint osteophyte narrows the neural foramen at this level greater on the left than the right. Remainder of examination is normal. Alignment is normal and there is no evidence of fracture or dislocation. Regional soft tissues and osseous structures are normal.

IMPRESSION:

Degenerative disk disease with disk space narrowing and osteophyte formation at C6-C7. Left foraminal narrowing secondary to uncal vertebral joint osteophyte formation.

DIAGNOSIS:

01RA04107486

Study interpreted and report approved by: Robert D. Zimmerman M.D.

Electronically signed Diagnostic Imaging Report

14SEP2004/ 14SEP2004/ RZ

Exam start / Sign-off / Transcription initials.

Mark Sodders  
Cigna Manager  
Disability Management Solutions

November 30, 2004

Steven Alfano  
3800 Waldo Avenue  
13-G  
Bronx, NY 10463

Routing: D212  
12225 Greenville Ave.  
Suite 1000 ~ LD 119  
Dallas TX 75243  
Telephone 1.800.352.0631 Ext 5693  
Fax/Faxback: 860-731-3413  
Mark.Sodders@cigna.com

Re: Claimant: Steven Alfano  
Policyholder: Weill Medical College  
Policy Number: NYK 1972  
CIGNA Life Insurance Company of New York

Dear Ms. Alfano:

This letter is in reference to the captioned Long Term Disability claim.

As of this date, we have not received the information requested from you in our November 9, 2004 letter. Please provide us with the following information by December 21, 2004:

**1. The enclosed Supplementary Claim Disability Benefits form.**

You may fax this information to the undersigned at 860-731-3413.

A copy of the original request is enclosed with this notice. If this information has already been sent, please disregard this notice.

In addition, please be advised that, as of January 1, 2005, your Gross Monthly Benefit will be subject to a 3% Cost Of Living Adjustment. Your benefit check for the time period of December 3, 2004 through January 2, 2005, will be for the net amount of \$2,282.53. Your new Gross Monthly benefit amount, prior to deductions from Other Benefits, will be \$4,674.60 beginning January 1, 2005.

Please refer to the enclosed calculation sheets for details.

Your assistance in providing this information is appreciated and will aid in the prompt handling of the claim.

Sincerely,

Mark Sodders

Disability Benefit Adjustment

Version Date: 12/20/03

Date: 11/20/2004

Claimant Name: Sue Ann Humpf

Policyholder Name: Sue Ann Humpf  
Policy Number: 1092

Minimum Benefit: \$ 100.00

Reason for Adjustment: SSI COLA Adjustment

Converted Payments

3/1/01 to 3/31/01 Paid:

From: 1/1/2001 Through: 3/31/2001 Total Months: 3

Gross benefit: \$2,321.00 Gross Benefit 28 Days

Other Benefits: \$1,900.00 SSI 28 Days

Net Benefit: \$2,321.00 Fam SSI 28 Days

Tax Year:

2004

Total for this period:

Net Benefit	\$2,321.00	Net Month:	\$2,321.00
- FICA	" 50.00		50.00
- FIT	" 0.00		0.00
Payment Amount:	\$2,321.00		\$2,321.00

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From: 1/1/2001 Through: 3/31/2001 Total Months: 3

Gross benefit: \$3,556.00 SSI COLA Adjustment & Royls

Other Benefits: \$1,900.00 SSI 2 Days

Net Benefit: \$3,556.00 Fam SSI 2 Days

Tax Year:

2004

Total for this period:

Net Benefit	\$3,556.00	Net Month:	\$3,556.00
- FICA	" 50.00		50.00
- FIT	" 0.00		0.00
Payment Amount:	\$3,506.00		\$3,506.00

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From: 1/1/2002 Through: 3/31/2002 Total Months: 3

Gross benefit: \$2,460.00 New Gross (Family)

Other Benefits: \$1,900.00 SSI

Net Benefit: \$2,460.00 Fam SSI

Tax Year:

2002

Total for this period:

Net Benefit	\$2,460.00	Net Month:	\$2,460.00
- FICA	" 50.00		50.00
- FIT	" 0.00		0.00
Payment Amount:	\$2,409.00		\$2,409.00

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From: 1/1/2003 Through: 3/31/2003 Total Months: 3

Gross benefit: \$2,460.00 New Gross (Family)

Other Benefits: \$1,900.00 SSI

Net Benefit: \$2,460.00 Fam SSI

Tax Year:

2003

Total for this period:

Net Benefit	\$2,460.00	Net Month:	\$2,460.00
- FICA	" 50.00		50.00
- FIT	" 0.00		0.00
Payment Amount:	\$2,409.00		\$2,409.00

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From: 1/1/2004 Through: 3/31/2004 Total Months: 3

Gross benefit: \$2,460.00 New Gross (Family)

Other Benefits: \$1,900.00 SSI

Net Benefit: \$2,460.00 Fam SSI

Tax Year:

2004

Total for this period:

Net Benefit	\$2,460.00	Net Month:	\$2,460.00
- FICA	" 50.00		50.00
- FIT	" 0.00		0.00
Payment Amount:	\$2,409.00		\$2,409.00

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From: 1/1/2005 Through: 3/31/2005 Total Months: 3

Gross benefit: \$2,460.00 New Gross (Family)

Other Benefits: \$1,900.00 SSI

Net Benefit: \$2,460.00 Fam SSI

Tax Year:

2005

Total for this period:

Net Benefit	\$2,460.00	Net Month:	\$2,460.00
- FICA	" 50.00		50.00
- FIT	" 0.00		0.00
Payment Amount:	\$2,409.00		\$2,409.00

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What Has Been Paid		Corrected Payments
Totals	Rentals Paid	\$4,000.00
	FICA Withheld	\$0.00
	FFF Withheld	\$0.00
	Total of all payments:	\$4,000.00
 Case Manager: Andi Sodiers Phone Number: 200-302-0941 x200 Glam Office: 200		

<b>Task: General Follow-Up</b>																																					
<b>Start Date:</b>	[11/23/2004]																																				
<b>Due Date:</b>	[11/24/2004]																																				
<input checked="" type="checkbox"/> Details <input type="checkbox"/> Log (0)																																					
<table border="1"> <tr> <td>Name</td> <td>STEVEN ALFANO</td> <td>SSN</td> <td>039-44-3648</td> <td>DOB</td> <td>01/14/1958</td> </tr> <tr> <td>Account Name</td> <td>WEIL MEDICAL COLLEGE</td> <td>Account #</td> <td>NY133601972</td> <td>Incurred Date</td> <td>08/05/2000</td> </tr> <tr> <td>Claim Manager</td> <td>Mark Sodders</td> <td>Incident #</td> <td>513554</td> <td>Claim EMR Status</td> <td>01/21/2003 - Active</td> </tr> <tr> <td>Type</td> <td colspan="5">flu exp TSA</td> </tr> <tr> <td colspan="6">Commitment/Instruction Referred on 11/09/04 received today. Rating on APS for COD.</td> </tr> <tr> <td>Date</td> <td>[11/19/2004 11:05 AM]</td> <td>User ID</td> <td colspan="3">Mark Sodders</td> </tr> </table>		Name	STEVEN ALFANO	SSN	039-44-3648	DOB	01/14/1958	Account Name	WEIL MEDICAL COLLEGE	Account #	NY133601972	Incurred Date	08/05/2000	Claim Manager	Mark Sodders	Incident #	513554	Claim EMR Status	01/21/2003 - Active	Type	flu exp TSA					Commitment/Instruction Referred on 11/09/04 received today. Rating on APS for COD.						Date	[11/19/2004 11:05 AM]	User ID	Mark Sodders		
Name	STEVEN ALFANO	SSN	039-44-3648	DOB	01/14/1958																																
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<table border="1"> <tr> <td>Type</td> <td>Due Date</td> <td>Created By</td> <td>Assigned To</td> <td>Title</td> </tr> <tr> <td>✓ LTD</td> <td>06/05/2008</td> <td>Mark Sodders</td> <td>ALFANO, STEVEN ... 039449648 - 01/14/1958</td> <td></td> </tr> <tr> <td colspan="2">Status: Completed</td> <td>Assigned To:</td> <td>Mark Sodders</td> <td>Created: [11/07/2004 11:51 AM]</td> </tr> </table>		Type	Due Date	Created By	Assigned To	Title	✓ LTD	06/05/2008	Mark Sodders	ALFANO, STEVEN ... 039449648 - 01/14/1958		Status: Completed		Assigned To:	Mark Sodders	Created: [11/07/2004 11:51 AM]																					
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Status: Completed		Assigned To:	Mark Sodders	Created: [11/07/2004 11:51 AM]																																	

[https://dms-acclaim.group.sigmax.com/scenex/Task/TaskOTCTASK\\_FOLLOWUPDisplay.asp?id=10632112&wd=1&orKey=T... 11/19/2004](https://dms-acclaim.group.sigmax.com/scenex/Task/TaskOTCTASK_FOLLOWUPDisplay.asp?id=10632112&wd=1&orKey=T...)

OCT-15-2009 14:47 From:CIGNA DALLAS

9729521205

To:1212 746 8127

P.2

**PHYSICAL ABILITY ASSESSMENT**

We are evaluating your patient's disability claim in order to determine functional impairment. Please check the boxes corresponding to the patient's level of physical functioning. Please substantiate your findings with medical documentation. (Failure to provide the requested reports/data may result in delay in claim determinations).

Patient Name Susan Almo Date of Birth \_\_\_\_\_  
 Diagnosis(es)/ICD-9 Code 511 510

*Throughout an 8-hour workday, the patient can tolerate, with positional changes and meal breaks, the following activities for the specified durations:*

		Not applicable to diagnosis(es)	Continuously (67-100%) (6.5+ hrs)	Frequently (33-66%) (2.5 - 5.5 hrs)	Occasionally (1-33%) (12.5 hrs)	Check if supported by objective findings
Sitting:					✓	✓
Standing:				✓	✓	✓
Walking:				✓	✓	✓
Reaching:	Overhead	✓				
	Desk Level	✓				
	Below Waist	✓				
Fine Manipulation:	Right:	✓				
	Left:	✓				
Simple Grasp:	Right:	✓				
	Left:	X				
Firm Grasp:	Right:	✓				
	Left:	✓				
Lifting:	10 lbs.				✓	
	11-20 lbs.					
	21-50 lbs.					
	51-100 lbs.				✓	
	100+ lbs.					
Carrying:	10 lbs.				✓	
	11-20 lbs.					
	21-50 lbs.					
	51-100 lbs.				✓	
	100+ lbs.					

OCT-15-2004 14:47 From:CIGNA DALLAS

9729621285

To: 1212 746 8127

P.3

	Not applicable to diagnoses)	Continuously (67-100%) (5.5+ hrs)	Frequently (34-66%) (2.5-5.5 hrs)	Occasionally (1-33%) (<2.5 hrs)	Check if supported by objective findings
Pushing: (Max. Wt.: 10/1)				✓	
Pulling: (Max. Wt.: 10/1)				✓	
Climbing:	Regular Stairs			✓	
	Regular Ladders			✓	
Balancing:				✓	
Stepping:					
Kneeling:					
Crouching:				✓	
Crawling:					
Seeing:	✓				
Hearing:	✓				
Smell/Taste:	✓				
Environmental Conditions:					
Exposure to extremes in heat	✓				
Exposure to extremes in cold	✓				
Exposure to wet / humid conditions	✓				
Exposure to vibration	✓				
Exposure to odors / fumes / particles	✓				
Can work around machinery	✓				
Ability to work extended shifts/ overtime:					
Use lower extremities for foot controls:				✓	

Please use this space to elaborate on ANY of the above categories:

\_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

Name: Karl R.  
 Medical Specialty: TOE & PC  
 Address: 711 York Rd

Signature: DR. K.R.  
 Date: 10/10/04  
 Phone: 313 746 8121

Federal ID tax number:

Please include any objective test or narrative if available.  
 Thank you for your time.

Please return this form in the enclosed addressed envelope.

OCT-15-2004 14:47 From: CIGNA DALLAS

9729521205

To: 1312 746 8127

P.4

**DISCLOSURE AUTHORIZATION**

Claimant's Name (Please Print):

*STEVEN ALFANO*

I AUTHORIZE: any doctor, physician, healer, health care practitioner, hospital, clinic, other medical facility, professional, or provider of health care, medically related facility or association, medical examiner, pharmacy, employee assistance plan, insurance company, health maintenance organization or similar entity to provide access to or to give the company named below (Company) or the Plan Administrator or their employees and authorized agents or authorized representatives, any medical and nonmedical information or records that they may have concerning my health condition, or health history, or regarding any advice, care or treatment provided to me. This information and/or records may include, but is not limited to: cause, treatment, diagnoses, prognoses, consultations, examinations, tests, prescriptions, or advice regarding my physical or mental condition, or other information concerning me. This may also include, but is not limited to, information concerning: mental illness, psychiatric, drug or alcohol use and any disability, and also HIV related testing, infection, illness, and AIDS (Acquired Immune Deficiency Syndrome), as well as communicable diseases and genetic testing. If my plan administrator sponsors both a disability plan underwritten or administered by Company and a medical plan of any type written by another CIGNA company, the information and records described in this form may also be given to any CIGNA Company which administers such medical or disability benefits for the purpose of evaluating any claim that may be submitted by me or on my behalf for benefits, for evaluating return to employment opportunities, and for administering any feature described in the plan. This information may also be extracted for use in audits or for statistical purposes.

I AUTHORIZE: any financial institution, accountant, tax preparer, insurance company or reinsurer, consumer reporting agency, insurance support organization, Claimant's agent, employer, group policyholder, business associate, benefit plan administrator, family members, friends, neighbors or associates, governmental agency including the Social Security Administration or any other organization or person having knowledge of me to give the Company or the Plan Administrator or their employees and authorized agents, or authorized representatives, any information or records that they have concerning me, my occupation, my activities, employee/employment records, earnings or finances, applications for insurance coverage, prior claim files and claim history, work history and work related activities.

I UNDERSTAND: the information obtained will be included as part of the proof of claim and will be used to determine eligibility for claim benefits, any amounts payable, return to employment opportunities, and to administer any other feature described in the plan with respect to the Claimant. This authorization shall remain valid and apply to all records, information and events that occur over the duration of the claim, but not to exceed 24 months. A photocopy of this form is as valid as the original and or my authorized representative may request one. I or my representative may revoke this authorization at any time as it applies to future disclosures by writing the Company. The information obtained will not be disclosed to anyone EXCEPT: a)reinsuring companies; b)the Medical Information Bureau, Inc., which operates Health Claim Index (HCI); c)fraud or overinsurance detection bureaus; d)anyone performing business, medical or legal functions with respect to the claim or the plan, including any entity providing assistance to the Company under its Social Security Assistance Program and employers involved in return to employment discussions; e)for audit or statistical purposes; f)as may be required or permitted by law; g) as I may further authorize. A valid authorization or court order for information does not waive other privacy rights.

If my medical information contains information regarding drug or alcohol abuse, I understand that my records may be protected under federal (42 CFR Part 2) and some state laws. To the extent permitted under law, I can ask the party that disclosed information to the Company to permit me to inspect and copy the information it disclosed. I understand that I can refuse to sign this disclosure authorization; however, if I do so, Company may deny my claim for benefits pursuant to the plan. The use and further disclosure of information disclosed hereunder may not be subject to the Health Insurance Portability and Accountability Act (HIPAA).

Signature of Claimant or  
Claimant's Authorized Representative:*St. Alfa*

Date: 7/20/04

Relationship,  
If other than Claimant

Claimant's Social Security Number: 099-144-8640

Company Name:

**PROHIBITION ON RE-DISCLOSURE**

If the medical information contains information regarding drug or alcohol abuse, it may be protected under federal law. Federal regulations (42 CFR Part 2) prohibit any person or entity who receives such protected information from the Company from making any further disclosure of it without the specific written consent of the person to whom it pertains, or as otherwise permitted by such regulation. A general authorization for the release of medical or other information is not sufficient for this purpose. The federal rules restrict any use of such protected information to criminally investigate or prosecute any alcohol or drug abuse patient.

OCT. 20, 2004 1:00PM  
10-CV-09661 FROM SIGNED BY AS

9729521205

T 212 746 NO. 916 P. 1

**Facsimile Transmission Cover Sheet****THIRD REQUEST**Also  
Placing  
Original!CIGNA Group Insurance  
Life · Accident · Disability

Transferred FAX number	Date	Time	Total number of pages (including this sheet)
212-746-8127	October 15, 2004	2:00 p.m.	4

Name Dr. Roach	Name Mark Sodders
Company	Department CIGNA Disability Management Solutions
Phone 212-746-2879	Phone 1-800-362-0611 Extension 5693
Address 505 E. 70 <sup>th</sup> St. Mt. 450 New York, NY. 10023	Address 12225 Greenville Avenue Suite 3000, LB 179 Dallas Texas 75243

Comments	
RE:	Steven Alfano
DOB:	1/16/58
Policyholder:	Weill Medical College NYK 1972
Underwriting Company:	Life Insurance Company of North America

In order to evaluate your patient's eligibility for Long Term Disability benefits (e.g. lost wage income) we are in need of the following information:

- A completed Physical Abilities Assessment form (attached).

We ask that you kindly respond by 10/29/04 to avoid any delay in your patient's claim for lost wages.

Naturally, we will consider a reasonable charge for this medical information. Please include your tax identification number. If this request requires a pre-payment, please call me at the phone number above or fax (860-731-2907) a fee request to my attention.

Sincerely,

Mark Sodders

**CONFIDENTIALITY NOTICE:** If you have received this facsimile in error, please immediately notify the sender by telephone or the number above. The documents accompanying this facsimile transmission contain confidential information. This information is intended only for the use of the individual(s) or entity named above. Thank you for your cooperation.

Life Insurance Company of North America  
Cigna HealthCare of America Company  
Cigna Life Insurance Company of New York

Acknowledgment Requested

To Fax a reply, dial: 860-731-2807

11/10/04

Policy Language - anniversary of published  
letter of 10% for CPT-N proceeding calendar year.

ID: 6/6/00

BSD: 12/3/00

06/10/00 -

05/08/01 = covered Earnings 5,933.32

06/06/01

06/05/02 = 3.4% = 6,135.05

06/06/02

06/05/03 = 1.3% = 6,214.81

06/06/03

06/05/04 = 2.4% = 6,282.29

06/06/04

06/05/05 = 1.6% = 6,233.21

80% = 4,986.57

Mark Sodders  
Case Manager  
CIGNA Disability Management Solutions



**CIGNA Group Insurance**  
Life • Accident • Disability

November 9, 2004

Routing 212E  
12225 Greenville Avenue  
Suite 1000 LB 179  
Dallas, TX 75243-9382  
Telephone 800.352.0611 x5693  
Facsimile 860.731.2907  
Mark.Sodders@Cigna.com

Steven Alfano  
3800 Waldo Avenue, 13-G  
Bronx, NY 10463

Re: Claimant: Steven Alfano  
Policyholder: Weill Medical College  
Policy Number: NYK 1972  
CIGNA Life Insurance Company of New York

Dear Mr. Alfano:

This letter is in reference to the captioned Long Term Disability claim.

A review of our file reveals the need for updated information. One of the provisions of your contract specifies that you may not be considered totally disabled for any period if you are not under the care of a licensed physician. Please complete the following information and return to this office by November 30, 2004:

\* Supplementary Claim Disability Benefits form.

You may fax this information back, attention to the undersigned, to 860-731-2907. Or, a return envelope is enclosed for your convenience.

Your assistance in providing this information is appreciated and will aid in the prompt handling of your claim.

Sincerely,

Mark Sodders

"CIGNA" and "CIGNA Group Insurance" are registered service marks and used to refer to various operating subsidiaries of CIGNA Corporation. Products and services are provided by these subsidiaries and not by CIGNA Corporation. These subsidiaries include Life Insurance Company of North America, CIGNA Life Insurance Company of New York, and Connecticut General Life Insurance Company.

15-2004 14:46 From:CIGNA DALLAS

9729521285

To:1212 746 8127

P.1

*Facsimile Transmission Cover Sheet*

*(Also  
Mailed  
Original)*

CIGNA Group Insurance  
Life • Accident • Disability**THIRD REQUEST**

Transmit to FAX number 212-746-8127	Date October 15, 2004	Time 2:00 p.m.	Total number of pages (including this sheet): 4
Name Dr. Roach	Name Mark Sodders		
Company	Department CIGNA Disability Management Solutions		
Phone 212-746-2379	Phone 1.800.352.0611 Extension 5693		
Address 505 E. 70 <sup>th</sup> St. Ht. 450 New York, NY, 10021	Address 12225 Greenville Avenue Suite 1000, LB 129 Dallas Texas 75243	<div style="text-align: right; border: 1px solid black; padding: 2px;">OCT 20 2004</div> <div style="text-align: right; border: 1px solid black; padding: 2px; margin-top: 2px;">CIGNA DAL 10</div>	
Comments			

RE: Steven Alfano  
 DOB: 1/16/58  
 Policyholder: Weill Medical College NYK 1972  
 Underwriting Company: Life Insurance Company of North America

In order to evaluate your patient's eligibility for Long Term Disability benefits (e.g. lost wage income) we are in need of the following information:

- A completed Physical Abilities Assessment form (attached).

We ask that you kindly respond by 10/29/04 to avoid any delay in your patient's claim for lost wages.

Naturally, we will consider a reasonable charge for this medical information. Please include your tax identification number. If this request requires a pre-payment, please call me at the phone number above or fax (860.731.2907) a fee request to my attention.

Sincerely,

Mark Sodders

**CONFIDENTIALITY NOTICE:** If you have received this facsimile in error, please immediately notify the sender by telephone at the number above. The documents accompanying this facsimile transmission contain confidential information. This information is intended only for the use of the individual(s) or entity named above. Thank you for your compliance.

The Insurance Company of North America  
 Generali Life Assurance Company  
 CIGNA Life Insurance Company of New York

Acknowledgment Requested

To Fax a reply, dial: 860.731.2907

OCT-20-2004 1:10 PM 140-148-NY-NH

DRA05212005

T-1212 746 NO. 916 F. 1a

**PHYSICAL ABILITY ASSESSMENT**

We are evaluating your patient's disability claim in order to determine functional impairment. Please check the boxes corresponding to the patient's level of physical functioning. Please substantiate your findings with medical documentation. (Failure to provide the requested reports/data may result in delay in claim determinations).

Patient Name Steve Alhus Date of Birth \_\_\_\_\_  
 Diagnosis(es)/ICD-9 Code C52.1 520.0

*Throughout an 8-hour Workday, the patient can tolerate, with positional changes and meal breaks, the following activities for the specified durations:*

	Not applicable to diagnosis(es)	Continuously (6.0-100%) (8.0+ hrs)	Frequently (34-66%) (2.0-5.5 hrs)	Occasionally (11-25%) (<2.0 hrs)	Check if supported by objective findings
Sitting:				✓	✓
Standing:				✓	✓
Walking:				✓	✓
Reaching:	Overhead	✓			
	Desk Level	✓			
	Below Waist	✓			
Fine Manipulation:	Right:	✓			
	Left:	✓			
Simple Grasp:	Right:	✓			
	Left:	✓			
Firm Grasp:	Right:	✓			
	Left:	✓			
Lifting:	10 lbs.			✓	
	11-20 lbs.				
	21-50 lbs.				
	51-100 lbs.			✓	
	100+ lbs.				
Carrying:	10 lbs.			✓	
	11-20 lbs.				
	21-50 lbs.				
	51-100 lbs.			✓	
	100+ lbs.				

OCT-01-2004 1:01PM/CLIGNY/PLRS

9729521225

761212 74810.916 P. 3.3

	Not applicable to diagnosis(es)	Continuously (67-100%) (5.6+ hrs)	Frequently (34-66%) (2.5-5.5 hrs)	Occasionally (1-33%) (<2.5 hrs)	Check if supported by objective findings
Pushing: (Max Wt. 101)				✓	
Pulling: (Max Wt. 101)				✓	
Climbing: Regular Stairs				✓	
Regular Ladders				✓	
Balancing:				✓	
Squatting:					
Kneeling:					
Crouching:					
Crawling:					
Seeing:	✓				
Hearing:	✓				
Smell/Taste:	✓				
Environmental Conditions:					
Exposure to extremes in heat	✓				
Exposure to extremes in cold	✓				
Exposure to wet / humid conditions	✓				
Exposure to vibration	✓				
Exposure to odors / fumes / particles	✓				
Can work around machinery	✓				
Ability to work extended shifts/ overtime:					
Use lower extremities for foot controls:				✓	

Please use this space to elaborate on ANY of the above categories:

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Name: Kerry Root Signature: ADZL  
 Medical Specialty: DOB E ZPL Date: 10/20/07  
 Address: 1100 York Rd MD Phone: 212 746 2371  
 Federal ID tax number:

Please include any objective text or narrative if available.

Thank you for your time.

Please return this form in the enclosed addressed envelope.

OCT 20 2004 1:01 PM CIGNA PHILS

97852126

T-1212 746 NO. 916 P. 44

## DISCLOSURE AUTHORIZATION

Claimant's Name (Please Print):

*STEVEN ALFAND*

I AUTHORIZE: any doctor, physician, healer, health care practitioner, hospital, clinic, other medical facility, professional, or provider of health care, medically related facility or association, medical examiner, pharmacy, employee assistance plan, insurance company, health maintenance organization or similar entity to provide access to or to give the company named below (Company) or the Plan Administrator or their employees and authorized agents or authorized representatives, any medical and nonmedical information or records that they may have concerning my health condition, or health history, or regarding any advice, care or treatment provided to me. This information and/or records may include, but is not limited to: cause, treatment, diagnosis, prognosis, consultations, examinations, tests, prescriptions, or advice regarding my physical or mental condition, or other information concerning me. This may also include, but is not limited to, information concerning: mental illness, psychiatric, drug or alcohol use and any disability, and also HIV related testing, infection, illness, and AIDS (Acquired Immune Deficiency Syndrome), as well as communicable diseases and genetic testing. If my plan administrator sponsors both a disability plan underwritten or administered by Company and a medical plan of any type written by another CIGNA company, the information and records described in this form may also be given to any CIGNA Company which administers such medical or disability benefits for the purpose of evaluating any claim that may be submitted by me or on my behalf for benefits, for evaluating return to employment opportunities, and for administering any feature described in the plan. This information may also be extracted for use in audits or for statistical purposes.

I AUTHORIZE: any financial institution, accountant, tax preparer, insurance company or reinsurance, consumer reporting agency, insurance support organization, Claimant's agent, employer, group policyholder, business associate, benefit plan administrator, family members, friends, neighbors or associates, governmental agency including the Social Security Administration or any other organization or person having knowledge of me to give the Company or the Plan Administrator or their employees and authorized agents, or authorized representatives, any information or records that they have concerning me, my occupation, my activities, employee/employment records, earnings or finances, applications for insurance coverage, prior claim files and claim history, work history and work related activities.

I UNDERSTAND: the information obtained will be included as part of the proof of claim and will be used to determine eligibility for claim benefits, any amounts payable, return to employment opportunities, and to administer any other feature described in the plan with respect to the Claimant. This authorization shall remain valid and apply to all records, information and events that occur over the duration of the claim, but not to exceed 24 months. A photocopy of this form is as valid as the original and my authorized representative may request one. I or my representative may revoke this authorization at any time as it applies to future disclosures by writing the Company. The information obtained will not be disclosed to anyone EXCEPT: a)reinsuring companies; b)the Medical Information Bureau, Inc., which operates Health Claim Index (HCI); c)fraud or overinsurance detection bureaus; d)anyone performing business, medical or legal functions with respect to the claim or the plan, including any entity providing assistance to the Company under its Social Security Assistance Program and employees involved in return to employment discussions; e)for audit or statistical purposes; f)as may be required or permitted by law; g) as I may further authorize. A valid authorization or court order for information does not waive other privacy rights.

If my medical information contains information regarding drug or alcohol abuse, I understand that my records may be protected under federal (42 CFR Part 2) and some state laws. To the extent permitted under law, I can ask the party that disclosed information to the Company to permit me to inspect and copy the information it disclosed. I understand that I can refuse to sign this disclosure authorization; however, if I do so, Company may deny my claim for benefits pursuant to the plan. The use and further disclosure of information disclosed hereunder may not be subject to the Health Insurance Portability and Accountability Act (HIPAA).

Signature of Claimant or  
Claimant's Authorized Representative:*St. Alfand*

Date: 7/20/04

Relationship:

If other than Claimant

Company Name:

Claimant's Social Security Number: 098-44-9640

## PROHIBITION ON RE-DISCLOSURE

If the medical information contains information regarding drug or alcohol abuse, it may be protected under federal law. Federal regulations (42 CFR Part 2) prohibit any person or entity who receives such protected information from the Company from making any further disclosure of it without the specific written consent of the person to whom it pertains, or as otherwise permitted by such regulation. A general authorization for the release of medical or other information is not sufficient for this purpose. The federal rules restrict any use of such protected information to criminally investigate or prosecute any alcohol or drug abuse patient.

Message Confirmation Report

OCT-15-2004 02:47 PM PRI

Fax Number : 9729521205  
Name : CIGNA DALLAS

Name/Number : 91212746812741431  
Page : 4  
Start Time : OCT-15-2004 02:46PM PRI  
Elapsed Time : 00'56"  
Node : STD ECM  
Results : (O.K.)

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*Facsimile Transmission Cover Sheet***THIRD REQUEST**

Transmit to FAX number 212-746-8127	Date October 15, 2004	Time 2:00 p.m.	Total number of pages (including this sheet) 4
Name Dr. Rosch	Name Mark Sodders		
Company	Department CIGNA Disability Management Solutions		
Phone 212-746-2879	Phone 1.800.352.0613 Extension 5693		
Address 505 E. 70 <sup>th</sup> St. Mt. 450 New York, NY 10021	Address 12225 Greenville Avenue Suite 1000, LB 179 Dallas Texas 75243		
<b>Comments</b>			
RE:	Steven Alfano		
DOB:	1/14/58		
Policyholder:	Weill Medical College NYK 1972		
Underwriting Company:	Life Insurance Company of North America		

In order to evaluate your patient's eligibility for Long Term Disability benefits (e.g. lost wage income) we are in need of the following information:

- A completed Physical Abilities Assessment form (**attached**).

We ask that you kindly respond by 10/29/04 to avoid any delay in your patient's claim for lost wages.

Naturally, we will consider a reasonable charge for this medical information. Please include your tax identification number. If this request requires a pre-payment, please call me at the phone number above or fax (860.731.2907) a fee request to my attention.

Sincerely,

Mark Sodders

**CONFIDENTIALITY NOTICE:** If you have received this facsimile in error, please immediately notify the sender by telephone at the number above. The documents accompanying this facsimile transmission contain confidential information. This information is intended only for the use of the individual(s) or entity named above. Thank you for your compliance.

Acknowledgment Requested

To Fax a reply, dial: 860.731.2907

CIGNA Life Insurance Company of North America  
Connecticut General Life Insurance Company  
CIGNA Life Insurance Company of New York

**PHYSICAL ABILITY ASSESSMENT**

We are evaluating your patient's disability claim in order to determine functional impairment. Please check the boxes corresponding to the patient's level of physical functioning. Please substantiate your findings with medical documentation. (Failure to provide the requested reports/data may result in delay in claim determinations).

Patient Name \_\_\_\_\_ Date of Birth \_\_\_\_\_  
 Diagnosis(es)/ICD-9 Code \_\_\_\_\_

*Throughout an 8-hour workday, the patient can tolerate, with positional changes and meal breaks, the following activities for the specified durations:*

	Not applicable to diagnosis(es)	Continuously (67-100%) (5.5 + hrs)	Frequently (34-66%) (2.5 - 3.5 hrs)	Occasionally (1-33%) (<2.5 hrs)	Check if supported by objective findings
Sitting:					
Standing:					
Walking:					
Reaching:	Overhead				
	Desk Level				
	Below Waist				
Fine Manipulation:	Right:				
	Left:				
Simple Grasp:	Right:				
	Left:				
Firm Grasp:	Right:				
	Left:				
Lifting:	10 lbs.				
	11-20 lbs.				
	21-50 lbs.				
	51-100 lbs.				
	100+ lbs.				
Carrying:	10 lbs.				
	11-20 lbs.				
	21-50 lbs.				
	51-100 lbs.				
	100+ lbs.				

	<input type="checkbox"/> Not applicable to diagnosis(es)	<input type="checkbox"/> Continuously (67-100%) (>5 hrs)	<input type="checkbox"/> Frequently (34-66%) (2.5 - 5.5 hrs)	<input type="checkbox"/> Occasionally (1-33%) (<2.5 hrs)	<input type="checkbox"/> Check if supported by objective findings
Pushing: (Max. Wt.: _____)					
Pulling: (Max. Wt.: _____)					
Climbing:	Regular Stairs				
	Regular Ladders				
Balancing:					
Stooping:					
Kneeling:					
Crouching:					
Crawling:					
Seeing:					
Hearing:					
Smell/Taste:					
<b>Environmental Conditions:</b>					
Exposure to extremes in heat					
Exposure to extremes in cold					
Exposure to wet / humid conditions					
Exposure to vibration					
Exposure to odors / fumes / particles					
Can work around machinery					
<b>Ability to work extended shifts/ overtime:</b>					
<b>Use lower extremities for foot controls:</b>					

Please use this space to elaborate on ANY of the above categories:

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Name: \_\_\_\_\_

Signature: \_\_\_\_\_

Medical Specialty: \_\_\_\_\_

Date: \_\_\_\_\_

Address: \_\_\_\_\_

Phone: \_\_\_\_\_

Federal ID tax number: \_\_\_\_\_

Please include any objective test or narrative if available.

Thank you for your time.

Please return this form in the enclosed addressed envelope.

Message Confirmation Report

SEP-14-2004 01:26 PM TUE

Fax Number : 9729521205  
Name : CIGNA DALLAS

Name/Number : 91212746812741431  
Page : 4  
Start Time : SEP-14-2004 01:26PM TUE  
Elapsed Time : 00'35"  
Mode : STD B&W  
Results : (OK)

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*Facsimile Transmission Cover Sheet*

**CIGNA Group Insurance**  
Life • Accident • Disability

Transmit to FAX number <b>212-746-8127</b>	Date <b>September 19, 2004</b>	Time <b>2:00 p.m.</b>	Total number of pages (including this sheet) <b>&gt;4</b>
Name <b>Dr. Keith Roach</b>	Name <b>Mark Sodders</b>	Company <b>CIGNA Disability Management Solutions</b>	Phone <b>1.800.352.0611 Extension 5693</b>
Phone <b>212-746-2879</b>	Address <b>12225 Greenville Avenue Suite 1000, LB 179 Dallas Texas 75243</b>	Address <b>505 E. 70 St. Ht. 450 New York, NY. 10021</b>	Comments

*2nd Request*

RE: **Steven Allano**  
DOB: **1/14/58**

*2nd Request*

In order to evaluate your patient's eligibility for Long Term Disability benefits (e.g. lost wage income), we are in need of the following information:

- A completed Physical Abilities Assessment form (attached).

We ask that you kindly respond by **9/28/04** to avoid any delay in your patient's claim for lost wages.

Naturally, we will consider a reasonable charge for this medical information. Please include your **Tax Identification number**. If this request requires a pre-payment, please call me at the phone number above or fax (860.731.2907) a fee request to my attention.

Sincerely,

**Mark Sodders**  
Case Manager

**CONFIDENTIALITY NOTICE:** If you have received this facsimile in error, please immediately notify the sender by telephone at the number above. The documents accompanying this facsimile transmission contain confidential information. This information is intended only for the use of the individual(s) or entity named above. Thank you for your compliance.

**Life Insurance Company of North America**  
**Connecticut General Life Insurance Company**  
**CIGNA Life Insurance Company of New York**

Acknowledgment Requested

To Fax a reply, dial: 060.731.2907

605 East 20th Street, HT 406, New York, NY 10021

THE NEW YORK HOSPITAL - CORNELL MEDICAL CENTER

Cornell Internal Medicine Associates

212 746-2900

FAX 212 746-3365/ 746-3803

DATE: 8/24/04

RECEIVED

AUG 30 2004

GIGNA DALLAS

Patient Name: Steven Al Fano

NYH #: 228 41 47

Dear Alvarez, Frank,

We are in receipt of your request for a copy of medical records for Steven Al Fano. Please note that there is a \$20.00 fee for this service. Kindly make check payable to Cornell Internal Medicine Associates and remit to the above address.

Sincerely,

Steven Tuffin

for Cornell Internal Medicine Associates

130/09  
10/08  
130/08



AUG. 19. 2004 1:38PM CIGNA DALLAS

## Facsimile Transmission Cover Sheet

Medical Repts - NO. 334 P. 1  
 #2284147

  
**CIGNA Group Insurance**  
 Life - Accident - Disability

Transmit to FAX number 212-746-8127	Date August 19, 2004	Time 2:00 p.m.	Total number of pages (including this sheet) 4
Name Dr. Keith Roach	Name Mark Sodders		
Company	Department CIGNA Disability Management Solutions		
Phone 212-746-2879	Phone 1-800-352-0611 Extension 5693		
Address 505 E. 70 St. HT. 450 New York, NY 10021	Address 12225 Greenville Avenue Suite 1000, LB 179 Dallas Texas 75243		

## Comments

RE: Steven Alfano  
 DOB: 1/14/58

In order to evaluate your patient's eligibility for Long Term Disability benefits (e.g. lost wage income), we are in need of the following information:

- A completed Physical Abilities Assessment form (attached).
- Copies of your progress notes, including diagnostic test and lab results, from 1/1/02 to the present.

We ask that you kindly respond by 9/2/04 to avoid any delay in your patient's claim for lost wages.

Naturally, we will consider a reasonable charge for this medical information. Please include your tax identification number. If this request requires a pre-payment, please call me at the phone number above or fax (860-731-2907) a fee request to my attention.

Sincerely,

Mark Sodders  
 Case Manager

**CONFIDENTIALITY NOTICE:** If you have received this facsimile in error, please immediately notify the sender by telephone at the number above. The documents accompanying this facsimile transmission contain confidential information. This information is intended only for the use of the individual(s) or entity named above. Thank you for your compliance.

U.S. Life & Accident Company of North America  
 Continental General Life Insurance Company  
 CIGNA Life Insurance Company of New York

Acknowledgment Requested

To Fax a reply, dial: 860-731-2907

## PATIENT INFORMATION SHEET

EMERGENCY CONTACT						
NAME Steven Alfano		NAME Eva Alfano				
ADDRESS 3800 Waldo Ave #13G		PHONE 718-884-2067				
CITY Bronx, NY 10463						
HOME 718-884-2067		OFFICE (212)745-1039				
ICD-9-CM	ICD-10-CM	DIS	DATE OF BIRTH	AGE AT BIRTH	SEX	RELATIONSHIP
099-44-9648	228-41-47	M	01/14/1958		X	S
PATIENT NAME: Eva Alfano						
INS CO. NAME (Primary)						
US Healthcare						
PLAN 1	GROUP 1	PLAN 2	GROUP 2	PLAN 3	GROUP 3	PLAN 4
JJF02010						
<p>ICD-9-CM      ICD-10-CM      DRG</p> <p>099.00      SUED APNEA NOS/CHRONIC/201-01/22/001      099.00</p> <p>099.01      GENERAL, MUSICAL, EXAMINE/EXAMINATE/099.00-099.02/001      099.01</p> <p>099.02      NONSPECIFIC CHIEF COMPLAINT/REGIOM/210.0-210.9/001      099.02</p> <p>099.03-099.09      SNUBBING/THROAT/NOSE/099.00-099.09/001      099.03-099.09</p> <p>099.10-099.50      INTRAPRAC, ORGANIC/Organic/191.1-191.4/001-191.9/001      099.10-099.50</p> <p>099.51-099.59      OTHER INTRAPAC, ORGANIC/Organic/191.0/001-191.9/001      099.51-099.59</p> <p>099.61-099.69      OTHER/ESPECIALLY DISORDERS/192.00-192.9/001-197.9/001      099.61-099.69</p> <p>ICD-10-CM      099.00 LONG STRETCH/190.00-190.1/001-190.2/001      099.00</p> <p>ICD-10-CM      PERTIN EXAMINAT/ANALYSIS/190.00-190.9/001      099.00</p> <p>ICD-10-CM      SPINAL STENOSIS/191.00-191.9/001-192.0/001      099.00</p> <p>ICD-10-CM      SPINAL SYMPTOMS/192.00-192.9/001      099.00</p> <p>ICD-10-CM      HYPERPLASIA OF PROSTATE/193.00-193.9/001      099.00</p> <p>ICD-10-CM      GASTRITIS/193.00-193.9/001      099.00</p> <p>ICD-10-CM      GASTROENTEROLOGY/193.00-193.9/001-194.9/001      099.00</p> <p>ICD-10-CM      ACUTE DEFECTIVITY OF TET/195.00-195.9/001-196.9/001      099.00</p> <p>ICD-10-CM      CELLULITIS OF BODY/195.00-195.9/001-196.9/001      099.00</p> <p>ICD-10-CM      ARTHROPATHY/HYP/196.00-196.9/001-197.9/001      099.00</p> <p>ICD-10-CM      CLASSIC MIGRAINE/NOT INDICATED/198.00-198.9/001      099.00</p> <p>ICD-10-CM      TENSION/THERAPY/199.00-199.9/001      099.00</p> <p>ICD-10-CM      HEADACHE/EX/199.00-199.9/001      099.00</p> <p>ICD-10-CM      OBESITY/HYP/199.90-199.9/001      099.00</p> <p>ICD-10-CM      LIVER/SE/ABSTIN/WEIG/200.00-200.9/001-201.9/001      099.00</p> <p>ICD-10-CM      HEPATOC/ARUS/C/CONF/200.00-200.9/001-201.9/001      099.00</p> <p>ICD-10-CM      MONITOR/CONT/DRUG/200.00-200.9/001-201.9/001      099.00</p> <p>ICD-10-CM      HEPATITIS/EX/200.00-200.9/001-201.9/001-202.9/001      099.00</p> <p>ICD-10-CM      CLASSICAL MIGRAINE/199.00-199.9/001      099.00</p> <p>ICD-10-CM      HYPOTENS/199.00-199.9/001-200.9/001-201.9/001      099.00</p> <p>ICD-10-CM      TRAUMA/ARTHRITIS/ANKLE/200.00-200.9/001-201.9/001      099.00</p> <p>ICD-10-CM      ANGINA/OC/200.00-200.9/001-201.9/001      099.00</p> <p>ICD-10-CM      ACTIVE PHARYNGITIS/201.00-201.9/001-202.9/001      099.00</p> <p>ICD-10-CM      CONSTITUT/202.00-202.9/001      099.00</p> <p>ICD-10-CM      CERVICAL/HYPERTENSION/203.00-203.9/001-204.9/001      099.00</p> <p>ICD-10-CM      LOCAL SIGN/INFECTION/205.00-205.9/001-206.9/001      099.00</p> <p>ICD-10-CM      VAG/INFECTION/207.00-207.9/001      099.00</p>						
<p>TRIAMCROLCLONE 0.1% CREAM / apply bid          LISINOPRIL 20MG TABLET / 1 tab po qd          ZESTRIAL 20MG TABLET / 1 po qd          PREVACID 30MG CAPSULES / 1 po qd          IMITREX NASAL SPRAY 20MG/SPRAY / 1 spray/intranasally prn          IMITREX 50MG TABLET / 1-2 tabs with onset of migraine          ASPIRIN 81MG TABLET EC / 1 po qd          VIOXX 50MG TABLET / 1 tab po qd          OXYCONTIN 40MG TABLETS / 1 tab po qd</p>						

## THE NEW YORK HOSPITAL-CORNELL MEDICAL CENTER



Steven Alfano  
NYH # 228-41-47  
01/18/02 00:00

## CORNELL INTERNAL MEDICINE ASSOCIATES

Patient Name: ALFANO, STEVEN

History #: 2284147

Accession #: 98374556

SSN Security: 099449648

Date of Birth: 01/14/58

Sex: M

Ordered by:

Specimen Date: 01/18/2002 00:00

Report Date: 01/19/2002 08:18

Status: Final

## COMP METABOLIC PANEL

ALT	33	UL	2-60
AST	19	UL	2-60
ALKALINE PHOSPHATASE	107	UL	20-125
A/G RATIO	1.6	0.8-2.0	
GLOBULIN,CALCULATED	2.8	g/dL	2.2-4.2
ALBUMIN	4.5	g/dL	3.5-4.9
PROTEIN,TOTAL	7.3	g/dL	6.0-8.3
CALCIUM	9.6	mg/dL	8.5-10.4
BUN/CREATININE RATIO	15.5		6.0-25.0
CREATININE	1.1	mg/dL	0.5-1.4
UREA NITROGEN	17	mg/dL	7-25
CARBON DIOXIDE	22	mmol/L	21-33
CHLORIDE	103	mmol/L	98-110
POTASSIUM	4.2	mmol/L	3.5-5.3
GLUCOSE,FASTING		mg/dL	65-109

Glycose was performed on the gray-top tube that we received with your chem-screen order. If you have any questions or concerns, please call our client services department at 800-631-1390.

SODIUM	142	mmol/L	135-146
POTASSIUM	4.2	mmol/L	3.5-5.3
CHLORIDE	103	mmol/L	98-110
CARBON DIOXIDE	22	mmol/L	21-33
UREA NITROGEN	17	mg/dL	7-25
CREATININE	1.1	mg/dL	0.5-1.4
BUN/CREATININE RATIO	15.5		6.0-25.0
CALCIUM	9.6	mg/dL	8.5-10.4
PROTEIN,TOTAL	7.3	g/dL	6.0-8.3
ALBUMIN	4.5	g/dL	3.5-4.9
GLOBULIN,CALCULATED	2.8	g/dL	2.2-4.2
A/G RATIO	1.6	0.8-2.0	
BILIRUBIN,TOTAL	0.45	mg/dL	0.20-1.50
ALKALINE PHOSPHATASE	107	UL	20-125
AST	19	UL	2-60
ALT	33	UL	2-60
PTT	30.9	Seconds	22.0-34.0
PROTHROMBIN TIME			
INR	0.93	Ratio	0.90-1.10
No Anticoagulant, Normal			0.9 - 1.1
Oral Anticoagulant, Standard Dose			2.0 - 3.0
Oral Anticoagulant, High Dose			2.5 - 3.5
GLUCOSE	101	mg/dL	65-125

## THE NEW YORK HOSPITAL-CORNELL MEDICAL CENTER



45184

CORNELL INTERNAL MEDICINE ASSOCIATES

Steven Alfano  
NYH # 228-41-47  
01/18/02 00:00  
Page 2

The glucose reference range is based on a non-fasting state.  
**CBC W/ DIFF & PLT**

WBC	7.2	Thous/mcL	3.8-10.6
RBC	5.10	Mill/mcL	4.20-5.80
HEMOGLOBIN	16.2	g/dL	13.2-17.1
HEMATOCRIT	44.1	%	38.5-50.0
MCV	86.5	fL	80.0-100.0
MCH	29.8	pg	27.0-33.0
MCHC	34.4	g/dL	32.0-36.0
RDW	13.2	%	11.0-15.0
PLATELET COUNT	267	Thous/mcL	140-400
MPV	8.2	%	7.5-11.5
TOTAL NEUTROPHILS,%	66.4	%	
TOTAL LYMPHOCYTES,%	24.0	%	
MONOCYTES,%	6.1	%	
EOSINOPHILS,%	2.8	%	
BASOPHILS,%	0.7	%	
NEUTROPHILS,ABSOLUTE	4781	cells/mcL	1500-7800
LYMPHOCYTES,ABSOLUTE	1728	cells/mcL	850-3900
MONOCYTES,ABSOLUTE	439	cells/mcL	200-950
EOSINOPHILS,ABSOLUTE	202	cells/mcL	50-550
BASOPHILS,ABSOLUTE	50	cells/mcL	0-200

**DIFFERENTIAL**

An instrumental differential was performed.

Please note new reference range

**URINALYSIS,COMPLETE**

COLOR	Yellow	Yellow	
APPEARANCE	Clear	Clear	
GLUCOSE,OL	Negative	mg/dL	Negative
BILIRUBIN	Negative		Negative
KETONES	Negative	mg/dL	Negative
SPECIFIC GRAVITY	1.030		1.001-1.030
BLOOD	Negative		Negative
pH	7.0	5.0-8.0	
PROTEIN,TOTAL,OL	30 (1+)	mg/dL	Negative
NITRITE	Negative		Negative
LEUKOCYTE ESTERASE	Negative		Negative
SQUAMOUS EPITHELIAL CELLS	3-5	/hpf	0-6/hpf
WBC	0-2	/hpf	0-3/hpf
BACTERIA	None	/hpf	None
RBC	0-2	/hpf	0-2/hpf
MUCUS	Trace	/hpf	

## THE NEW YORK HOSPITAL-CORNELL MEDICAL CENTER



45164

CORNELL INTERNAL MEDICINE ASSOCIATES

Steven Alfano  
NYH # 228-41-47  
01/18/02 08:39

Progress Note: Steven Alfano / January 18, 2002

## CIMA/OMC Preoperative Evaluation

Requested by: Dr. Michael Alexades  
Referring Physician's address/telephone #: 159 E 74th St., New York  
Fax 212 639 6855  
Planned surgery: arthroscopic shoulder surgery, decompression  
Surgery date: 1/28/02

HPI: 44 year old man with R shoulder separation, operated on before for rotator cuff tear, now for arthroscopic decompression. Major complaint is pain, limitation of movement.

PMH: severe spinal stenosis - L5-S1

HTN - good control

headaches - relieved by imitrex

Coronary artery disease: none

Diabetes mellitus requiring therapy other than diet: never

COPD: no diagnosis, no symptoms

Asthma: none

PSH: previous shoulder surgery, tonsils, soft palate reduction for sleep apnea

Phx: HTN, no CAD

Shx: lives with wife, 2 children

Working/part time disability, unable to work secondary to back pain

Relationships: lives with wife, stressed about financial issues, health concerns

Cigarette user: 30 pack-years

Alcohol: rare

Drugs: no

Health maintenance:

Immunizations:

Last Td: doesn't remember

Flu vaccine: doesn't want

Pneumovax: not indicated

PPD: not indicated

Current Medications: vioxx 50 qd

fexinadol 10 qd

prevacid 30 q HS

ASA 81 mg qd

imitrex nasal spray 20

Allergies:

collins - nausea

Review of Systems:

THE NEW YORK HOSPITAL-CORNELL MEDICAL CENTER



46104

Steven Alfano  
NYH # 228-41-47  
01/18/02 08:39  
Page 2

CORNELL INTERNAL MEDICINE ASSOCIATES

Problems with anesthetics: never  
Blushing problems: none  
Exercise: limited by spinal stenosis, limited by back pain, weakness in leg  
Blocks walked before needing to rest: <1  
Flights of steps climbed before needing to rest: 1  
Reason for stopping: loss of strength in legs  
Pain: no problems  
Card: no chest discomfort or palpitations  
GI: constipation  
GU: urinary retention, evaluated by urology - not felt to need treatment

Objective:

BP Right: 140/104 Left: 140/100 Pulse: 88 Wt: 298 Ht: 6'3"  
HEENT: PERRL, GOMI w/out nystagmus, discs flat B, no H/E.  
OPTM's and nares clr, no sinus tenderness.  
Neck: no LN, no thyromegaly/nodules, carotids 2+B, no bruits.  
Lungs and Chest: CFA and P. No axillary or SC LN.  
Cir: PMT nonenlarged, nondisplaced, RRR s1s2, no m/g/c.

Back: no spinous tenderness or scoliosis. No CVAT.  
Abd: BS active, NT, ND, no HSM.  
Rectal:  
Lymphnodes: No axillary, supraclavicular, or inguinal LN.  
Ext: DP 2+ B, no edema.  
MS: moderate R shoulder impingement  
Neuro: Nonfocal. Strength 5/5 B UE and LE. DTR's 2+ throughout  
Skin: No rashes or dysplastic nevi.  
GU: testes NL size, no masses, no scrotal masses, no inguinal hernia B.

Data (as clinically indicated):  
Chemistry battery:  
CBC:  
PT/PTT:  
ECG:  
Chest X-ray:  
Stress test: not indicated

Impression:  
low risk for planned procedure

Recommendations:

Keith Roach, MD

THE NEW YORK HOSPITAL-CORNELL MEDICAL CENTER



45104

CORNELL INTERNAL MEDICINE ASSOCIATES

Steven Alfano  
NYH # 228-41-47  
02/12/02 14:09

Progress Note: Steven Alfano / February 12, 2002

Subjective: 44 year old man with  
spinal stenosis  
needs evaluation for social security

Objective:

BP 130/90 P 88 bpm Wt 300 lbs Height 6ft 3in  
quadri 4/S  
+ SLR bilaterally  
al sensation  
decreased L patellar reflex

Current Medications:

TRIAMCINOLONE 0.1% CREAM / apply bid  
VIOXX 50MG TABLET / 1 tab po qd  
CELEXA 20MG TABLET / 1 po qd  
ZESTRIL 20MG TABLET / 1 po qd  
PREVACID 30MG CAPSULES / 1 po qd  
IMITREX NASAL SPRAY 20MG/SPRAY / 1 spray intranasally prn  
IMITREX 50MG TABLET / 1-2 tabs with onset of migraine  
ASPIRIN 81MG TABLET EC / 1 po qd

Allergies:

Impression:

Plan:

forms filled out  
D/C with surgery prn

RTC

Keith Roach, MD

THE NEW YORK HOSPITAL-CORNELL MEDICAL CENTER



45104

CORNELL INTERNAL MEDICINE ASSOCIATES

Steven Allano  
NYH # 228-41-47  
05/23/02 12:29

HSS

MRI LOWER EXTREMITY

Dr. Michael Alexiades

IMPRESSION:

Magnetic resonance imaging of the right hip demonstrating superficial cartilage loss over the hip joint, borderline acetabular dysplasia and a torn, hyperplastic and degenerated anterior acetabular labrum.

There is a marrow replacement process affecting the left femur which overall has a non-aggressive appearance. Differential possibilities are noted, as above.

Dictated by Hollis Potter M.D.

## THE NEW YORK HOSPITAL-CORNELL MEDICAL CENTER



46104

Steven Alfano  
NYH # 228-41-47  
06/05/02 00:00

## CORNELL INTERNAL MEDICINE ASSOCIATES

Patient Name: ALFANO, STEVEN

History #: 2284147

Accession #: 43218721

Soc Security: 089449548

Date of Birth: 01/14/50

Sex: M

Ordered by:

Specimen Date: 06/05/2002 00:00

Report Date: 06/05/2002 02:18

Status: Final

## COMP METABOLIC PANEL

GLUCOSE,FASTING

mg/dL 65-109

Glucose was performed on the gray-top tube that we received with your chem-screen order. If you have any questions or concerns, please call our client services department at 800-631-1989.

SODIUM	141	mmol/L	135-146
POTASSIUM	4.2	mmol/L	3.5-5.3
CHLORIDE	103	mmol/L	98-110
CARBON DIOXIDE	22	mmol/L	21-33
UREA NITROGEN	19	mg/dL	7-25
CREATININE	1.1	mg/dL	0.5-1.4
BUN/CREATININE RATIO	17.3		6.0-25.0
CALCIUM	9.8	mg/dL	8.5-10.4
PROTEIN,TOTAL	7.4	g/dL	6.0-8.0
ALBUMIN	4.7	g/dL	3.5-4.9
GLOBULIN,CALCULATED	2.7	g/dL	2.2-4.2
A/G RATIO	1.7		0.8-2.0
BILIRUBIN,TOTAL	0.73	mg/dL	0.20-1.50
ALKALINE PHOSPHATASE	120	U/L	20-126
AST	21	U/L	2-50
ALT	36	U/L	2-60
PTT	32.9	Seconds	22.0-34.0
PROTHROMBIN TIME			
INR	0.95	Ratio	0.90-1.10
No Anticoagulant, Normal			
Oral Anticoagulant, Standard Dose			
Oral Anticoagulant, High Dose			

GLUCOSE 102 mg/dL 65-125

The glucose reference range is based on a non-fasting state.

## CBC W/ DIFF &amp; PLT

WBC	7.5	Thousands/mcL	3.8-10.8
RBC	6.28	MillimcL	4.20-5.80
HEMOGLOBIN	15.5	g/dL	13.2-17.1
HEMATOCRIT	44.8	%	38.5-50.0
MCV	84.8	fL	80.0-100.0
MCH	29.4	pg	27.0-33.0
MCHC	34.7	g/dL	32.0-36.0
RDW	12.4	%	11.0-15.0
PLATELET COUNT	237	Thousands/mcL	140-400
MPV	8.3	%	7.5-11.5
TOTAL NEUTROPHILS,%	57.8	%	
TOTAL LYMPHOCYTES,%	22.9	%	

## THE NEW YORK HOSPITAL-CORNELL MEDICAL CENTER



45104

Steven Alfano  
 NYH # 228-41-47  
 06/05/02 00:00  
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## CORNELL INTERNAL MEDICINE ASSOCIATES

MONOCYTES,%	6.6	%
EOSINOPHILS,%	2.6	%
BASOPHILS,%	0.1	%
NEUTROPHILS,ABSOLUTE	5153	Cells/mcL 1500-7800
LYMPHOCYTES,ABSOLUTE	1740	Cells/mcL 850-3900
MONOCYTES,ABSOLUTE	502	Cells/mcL 200-950
EOSINOPHILS,ABSOLUTE	198	Cells/mcL 50-550
BASOPHILS,ABSOLUTE	8	Cells/mcL 0-200

## DIFFERENTIAL

An instrument differential was performed.

## URINALYSIS,COMPLETE

COLOR	Dark Yellow	Yellow
APPEARANCE	Clear	Clear
GLUCOSE,QL	Negative	mg/dL Negative
BILIRUBIN	Negative	Negative
KETONES	Negative	mg/dL Negative
SPECIFIC GRAVITY	1.025 H	1.001-1.020
BLOOD	Negative	Negative
PH	6.0	5.0-8.0
PROTEIN,TOTAL,QL	30 (1+)	mg/dL Negative
NITRATE	Negative	Negative
LEUKOCYTE ESTERASE	Negative	Negative
SQUAMOUS EPITHELIAL CELLS	3-5	/hpf 0-5
WBC	0-2	/hpf 0-3
BACTERIA	None	/hpf None
RBC	None	/hpf 0-2

## THE NEW YORK HOSPITAL-CORNELL MEDICAL CENTER



45104

Steven Aliano  
NYH # 228-41-47  
06/11/02 17:58

## CORNELL INTERNAL MEDICINE ASSOCIATES

Progress Note: Steven Aliano / June 11, 2002

**Subjective:** 46 year old man with preoperative visit - no changes since last visit 1/02

former lesion - reassured by orthopaedic oncologist  
dx LSMFT (? liposclerosing myxofibrous tumor)

depression - feeling better with benign diagnosis above

occipital hypertension - also contributing to depression

**Objective:**

BP 124/84 P 88 BPM Wt 298 LBS Height 6FT 3IN

HEENT: PERL, EOMI w/out nystagmus, discs flat B, no H/E.  
OP, TM's and nares clr, no sinus tenderness.

Neck: no LN, no thyromegaly/nodules, carotids 2+B, no bruits.

Lungs and Chest: CTA and P. No auditory or SC LN.

CV: PMI nonenlarged, nondisplaced, RRR std, no m/g/r.

Abd: no epigastric tenderness or scoliosis. No CV AT.

Abd: BS active, NT, ND, no IBS.

**Rectal:**

Lymphatics: No axillary, supraclavicular, or inguinal LN.

Ext: OP 2+ B, no edema.

M/S: moderate R shoulder impingement

Nervo: Nonlocal. Strength 5/5 B UE and LE. DTR's 2+ throughout.

Skin: No rashes or dysplastic nevi.

GU: testes NL size, no masses, no scrotal masses, no inguinal hernia B.

**Current Medications:**

VICODIN 5/500 TABLET / 1 tab po q 4 h prn  
TRIAMCINOLONE 0.1% CREAM / apply bid  
VIROXX 50MG TABLET / 1 tab po qd  
CELEXA 20MG TABLET / 1 po qd  
ZESTRIL 20MG TABLET / 1 po qd  
PREVACID 30MG CAPSULES / 1 po qd  
IMITREX NASAL SPRAY 20MG/SPRAY / 1 spray intranasally prn  
IMITREX 50MG TABLET / 1-2 tabs with onset of migraine  
ASPIRIN 81MG TABLET EC / 1 po qd

**Allergies:****Impression:**

**Plan:**  
low risk for planned surgery

THE NEW YORK HOSPITAL-CORNELL MEDICAL CENTER



45104

CORNELL INTERNAL MEDICINE ASSOCIATES

Steven Alfano  
NYH # 228-41-47  
06/11/02 17:58  
Page 2

ED  
New medications: VIAGRA 50MG TABLET/ 1 tab po 1-2 hrs before intercourse

tobacco use  
WELLBUTRIN SR 150MG TABLET/ 1 tab po bid  
may have benefit in depression

RTC

Keith Ruch, MD

THE NEW YORK HOSPITAL-CORNELL MEDICAL CENTER



45194

CORNELL INTERNAL MEDICINE ASSOCIATES

Steven Alfano  
NYH # 228-41-47  
06/08/02 13:53

HSS  
May 6, 2002

**Examination of the Right Hip  
and Left Hip and Proximal Femur**

**IMPRESSION:**

Large non-aggressive bony lesion expands and remodels the proximal femur from the femoral neck through the proximal shaft and has matrix calcification, compatible with a chondral lesion. Bone scan is recommended to assess activity of the lesion. Chondrosarcoma is in the differential.

L. Daniel Neistadt, MD  
ms

## THE NEW YORK HOSPITAL-CORNELL MEDICAL CENTER



45104

CORNELL INTERNAL MEDICINE ASSOCIATES

Steven Allano  
NYH II 228-41-47  
09/27/02 15:48

Progress Note: Steven Allano / September 27, 2002

Subjective: 46 year old man with  
low back pain - pt on social security disability

femur lesion - reassured by orthopaedic oncologist  
dx LGMFT (? liposcleroring myxofibrous tumor)

depression - feeling better with benign diagnosis above  
during lecture with Wellbutrin

erectile dysfunction - also contributing to depression  
got prescription

quit smoking

hernia  
c/o pain under R testicle  
worse after sex

hip pain - L sided - only once

HTN - on Zestril

Objective:

BP 130/80 bp P 80 bpm Wt 293 lbs Height 6'7  
small bulging, no frank herniation

Current Medications:

VIAGRA 50MG TABLET / 1 tab po 1-2 h q intermission  
WELLBUTRIN SR 150MG TABLET / 1 tab po bid  
VICODIN 5/500 TABLET / 1 tab po q 4 h prn  
TRIAMCHINOLONE 0.1% CREAM / apply bid  
VIOXX 30MG TABLET / 1 tab po qd  
CELEXA 20MG TABLET / 1 po qd  
ZESTRIIL 20MG TABLET / 1 po qd  
PREVACID 30MG CAPSULES / 1 po qd  
IMITREX NASAL SPRAY 70MG/SPRAY / 1 spray intranasally prn  
IMITREX 50MG TABLET / 1-2 tabs with onset of migraine  
ASPIRIN 81MG TABLET EC / 1 po qd

Allergies:

Impression:

Plan/reassured re hernia

depression - better

THE NEW YORK HOSPITAL-CORNELL MEDICAL CENTER



45104

CORNELL INTERNAL MEDICINE ASSOCIATES

back pain - pt plans to get back surgery eventually

Re/GHed: WELLBUTRIN SR 350MG TABLET / 1 tab po bid  
VICODIN 5/500 TABLET / 1 tab po q 4 h prn

RTC

Keith Ranch, MD

Steven Alfano  
NYH # 228-41-47  
09/27/02 15:48  
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## THE NEW YORK HOSPITAL-CORNELL MEDICAL CENTER



09104

CORNELL INTERNAL MEDICINE ASSOCIATES

Steven Alfano  
 NYH # 228-41-47  
 12/11/02 00:00

Patient Name: ALFANO, STEVEN

History #: 2284147

Accession #: 90110627

Soc Security: 098440848

Date of Birth: 01/14/58

Sex: M

Ordered by:

Specimen Date: 12/11/2002 00:00

Report Date: 12/14/2002 12:36

Status: Final

TESTOSTERONE, TOT &amp; FREE

TESTOSTERONE, %,FREE

TESTOSTERONE, FREE

TOTAL TESTOSTERONE

2.8 H Percent 1.0-2.7

pg/mL 50.0-210.0

ng/dL 260-1000

THE NEW YORK HOSPITAL-CORNELL MEDICAL CENTER



45104

CORNELL INTERNAL MEDICINE ASSOCIATES

Steven Alfano  
NYH # 228-41-47  
12/11/02 14:04

Progress Note: Steven Alfano / December 11, 2002

Subjective: 44 year old man with  
anted access in mouth

low back pain - got social security disability  
taking Vioxx, ibuprofen, Vicodin

Scallop lesion - reassured by orthopedic oncologist  
dx LSMFT (liposcleromatous myofibromatous tumor)

depression - feeling better with benign diagnosis above  
doing better with Wellbutrin

erectile dysfunction - also contributing to depression  
got prescription

quit smoking

benign  
L/pain under R testicle  
worse after sex

hip pain - L sided - only once  
R sided labral tear

HTN - on Zestril

SH: did get disability  
financially doing much better

Objective:

BP 136/88 P 92bpm RR 12 Wt 283.5lbs Height 6FT 3IN  
looks like root of wisdom tooth - supposedly all removed

Current Medications:

VIAGRA 50MG TABLET / 1 tab po 1-2 h a intercourse  
WELLBUTRIN SR 150MG TABLET / 1 tab po bid  
VICODIN 5/500 TABLET / 1 tab po q 4 h prn  
TRIAMCINOLONE 0.1% CREAM / apply bid  
VIOXX 50MG TABLET / 1 tab po qd  
CELEXA 20MG TABLET / 1 po qd  
ZESTRIL 20MG TABLET / 1 po qd  
PREVACID 30MG CAPSULES / 1 po qd